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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/938,727	08/27/2001	Seiji Sugimura	1614.1182	2759

21171 7590 04/17/2007
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EXAMINER

LEROUX, ETIENNE PIERRE

ART UNIT	PAPER NUMBER
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2161

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	04/17/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 09/938,727	Applicant(s) SUGIMURA, SEIJI	
	Examiner Etienne P LeRoux	Art Unit 2161	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
 - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
 - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
 - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 March 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3, 6-10, 13-18, 21-23 and 30-34 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) 1-3, 6-10, 13-18, 21-23 and 30-34 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 August 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Claim Status:

Claims 1-3, 6-10, 13-18, 21-23 and 30-34 are pending; claims 4, 5, 11, 12, 19, 20 and 24-29 having been cancelled. Claims 1-3, 6-10, 13-18, 21-23 and 30-34 are rejected as detailed below.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat No 6,338,138 (Raduchel et al), hereafter Raduchel, in view of US Pat No 5,937,346 (Ono), hereafter Ono.

Claims 1, 6, 8, 13, 15, 16, 18, 21, 23 and 30-34:

Raduchel as admitted prior art (RAPA) discloses:

a storage unit [stand-alone computer, col 1, lines 20-30]

a processor [stand-alone computer, col 1, lines 20-30]

RAPA discloses the elements of the claimed invention as noted above but does not disclose detecting a password input error at the client-side information processing apparatus. Raduchel discloses detecting a password input error at the client-side information processing apparatus [authentication fails, col 5, lines 45-65]. It would have been obvious to one of ordinary skill in

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the art at the time the invention was made to modify the above combination of references to include detecting a password input error at the client-side information processing apparatus as taught by Raduchel for the purpose of preventing a user from accessing network resources such as files, col 5, lines 60-65]. Furthermore, the combination of RAPA and Raduchel discloses the elements of the claimed invention as noted above but does not disclose registering information identifying the client-side information processing apparatus in a first database of the registration center when the password input error is detected. Ono discloses registering information identifying the client-side information processing apparatus in a first database of the registration center when the password input error is detected [Fig 1, 10]. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the above combination of references to include registering information identifying the client-side information processing apparatus in a first database of the registration center when the password input error is detected as taught by Ono for the purpose of confirming password input errors [col 2, lines 55-60].

Furthermore, the combination of RAPA, Raduchel and Ono discloses

storing transmission log information related to the registration in the registration center into said storage unit of said client-side information processing apparatus [Fig 1, 10]

Claims 2, 9 and 17:

The combination of RAPA, Raduchel and ONO discloses the elements of the claimed invention as noted above and furthermore discloses wherein said processing part includes means for transmitting to a second database of the registration center if the transmission log information is stored in said storage unit, and for making a system lock with respect to the information processing apparatus if the information related to the information processing apparatus is

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registered in the first database or the second database [Raduchel, user access is restricted, col 5, lines 45-65]

Claims 3 and 10:

The combination of RAPA, Raduchel and ONO discloses the elements of the claimed invention as noted above and furthermore discloses wherein said processing part includes means for outputting a warning if the information related to the information processing apparatus is registered in the second database [Raduchel; Fig 5, col 5, lines 45-55]

Claims 7, 14 and 22:

The combination of RAPA, Raduchel and ONO discloses wherein said processing part includes means for deleting the information related to the first apparatus from said first database and/or said second database when a request to delete the information related to the first apparatus is received with respect to said first database and/or said second database, in response to the transmission from the second apparatus [Ono; col 6, lines 15-25].

Response to Arguments

Applicant's arguments filed 3/19/2007 have been fully considered but they are moot based on above new grounds of rejection resulting from applicant's amendments.

Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Etienne P LeRoux whose telephone number is (571) 272-4022. The examiner can normally be reached Monday through Friday between 8:00 am and 4:30 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Apu Mofiz can be reached on (571) 272-4080. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Etienne LeRoux

4/10/2007

Etienne LeRoux
primary examiner